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<b>(21) International Application Number:</b> <b>PCT/US98/24484</b> <b>(22) International Filing Date:</b> <b>16 November 1998 (16.11.98)</b>  <b>(30) Priority Data:</b> 60/065,628                      18 November 1997 (18.11.97)    US 60/098,235                      28 August 1998 (28.08.98)        US  <b>(71) Applicant (for all designated States except US):</b> PIONEER HI-BRED INTERNATIONAL, INC. [US/US]; 800 Capital Square, 400 Locust Street, Des Moines, IA 50319 (US).  <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> BASZCZYNSKI, Christopher, L. [CA/US]; 7305 Benton Drive, Urbandale, IA 50322 (US). DUESING, John, H. [US/US]; 511 S. 26th Street, West Des Moines, IA 50265 (US). PETERSON, David, J. [US/US]; 2126 Stevenson Drive, Ames, IA 50010 (US). TAGLIANI, Laura, A. [US/US]; 7552 N.W. 28th Street, Ankeny, IA 50021 (US). ZHU, Tong [CN/US]; 7300 N.W. 62nd Avenue, Johnston, IA 50131 (US). BOWEN, Benjamin, A. [GB/US]; 3008 36th Street, Des Moines, IA 50310-4609 (US).			<b>(74) Agents:</b> SPRUILL, W., Murray et al.; Bell Seltzer Intellectual Property Law Group, Alston & Bird LLP, P.O. Drawer 34009, Charlotte, NC 28234 (US).  <b>(81) Designated States:</b> AL, AM, AT, AT (Utility model), AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, CZ (Utility model), DE, DE (Utility model), DK, DK (Utility model), EE, EE (Utility model), ES, FI, FI (Utility model), GB, GE, GH, GM, HR, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SK (Utility model), SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM; GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
<b>(54) Title:</b> TARGETED MANIPULATION OF HERBICIDE-RESISTANCE GENES IN PLANTS			
<b>(57) Abstract</b>  Methods and compositions for altering a nucleotide sequence of interest in a plant are provided. The method involves introducing a chimeric oligonucleotide into a plant cell, wherein the oligonucleotide comprises at least two intervening blocks of RNA residues with intervening DNA residues. The RNA blocks are homologous to a plant nucleotide sequence. The chimeric oligonucleotide is capable of folding to form a duplex oligonucleotide. The chimeric oligonucleotide is maintained within the nucleus of the plant whereby the alteration is introduced into the target sequences of the plant genome.			

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## TARGETED MANUPULATION OF HERBICIDE-RESISTANCE GENES IN PLANTS

FIELD OF INVENTION

The present invention is drawn to the genetic modification of higher plants using chimeric RNA/DNA oligonucleotides.

5

BACKGROUND OF THE INVENTION

Because of the complex organization and metabolic compartmentation in higher plants, many molecular tools have to be combined to successfully genetically manipulate a plant. At the present time, directed changes require a transformation system, a suitable gene, a promoter sequence capable of driving expression of the gene, effective targeting signals to direct the expression product to the correct destination in the cell, and often other regulatory elements.

There are many published systems for the transformation of plant cells. However, there remain drawbacks to each of the systems. For example, *Agrobacterium tumefaciens* gene transfer is widely used for creating transgenic plants, however, in some plants making a successful transformation utilizing the *Agrobacterium* system is difficult. Other systems include particle bombardment, viral vectors, protoplast transformation via polyethylene glycol or electroporation, microinjection of DNA into protoplast, and macroinjection of DNA. Of these, the most successful method to date is particle bombardment of embryogenic calli for developing embryos. Even this transformation system suffers from a lack of genomic targeting control for insertion of the foreign gene. In addition, bombardment results in multiple insertions of the foreign gene into the plant genome.

Any transformation system in plants, must deal with the problems of cosuppression which occurs when endogenous genes are down-regulated by expression of homologous sense transcripts. Expression of chimeric gene constructs have led to the down-regulation of genes in transgenic plants, however the effects of cosuppression are variable, and the underlying mechanisms remain unclear.

Site-directed manipulation of chromosomal genes has become the method of choice for determining gene function and bacterial, yeast, and mammalian cells.

The primary methods used in site-directed gene manipulation rely on gene replacement via homologous recombination using an appropriately designed gene targeting vector. In plants, gene targeting has been limited by the frequency of homologous recombination. Even with improvements in transformation and  
5 selection methods, the frequency of gene targeting in plants is still  $10^{-3} - 10^{-4}$  fold lower than random integration.

New procedures are being developed for mammalian gene therapy. One example is an approach using chimeric RNA/DNA oligonucleotides. In mammalian cells, chimeric oligonucleotides that contain both DNA/DNA and  
10 RNA/DNA duplex regions with homology to a target locus, are capable of specifically correcting mutations at a high frequency in both episomal and chromosomal target genes. Recently, mutations in liver alkaline phosphatase gene and factor IX gene have also been efficiently corrected in human hepatoma cells. However, to date, chimeric oligonucleotide-based gene targeting has not been  
15 reported in plant systems.

Herbicide resistant forms of plants are desirable for many breeding and crop production applications. Approaches to date have involved laborious methods including: finding a naturally existing form of resistance in a plant and introgressing the trait into desirable germplasm; mutagenesis of plants, seeds, and  
20 seedlings to generate novel mutant plants that confer resistance and introgressing the trait into the breeding population; finding a naturally existing form of a gene which confers resistance to a target herbicide and introducing the gene into the desired species by transformation; and, converting a wild type gene to a resistant form by mutagenesis. All of these approaches rely on either natural recovery of  
25 the trait or modification of the gene and subsequent introduction of the resistance gene into a plant.

A major disadvantage in each of these approaches is the time involved in terms of mutagenesis, recovery of the trait and the breeding necessary to introduce the trait into desired populations. Further, where transformation is involved, plants  
30 will have to be tested and selected that are not impacted by expression instability or by poor agronomic performance. Additionally, in many instances, optimum performance of a gene in a given species may only be achieved following resynthesis of the gene to maximize usage of preferred codons, or by the creation of modified forms of the gene.

Because of the present problems associated with the integration and expression of foreign genes in plant cells, effective strategies for modification, conversion or correction of gene sequences are needed.

5

### SUMMARY OF THE INVENTION

Compositions and methods for modifying nucleotide sequences of interest in a plant cell are provided. The compositions comprise single covalently linked duplex oligonucleotides, a portion of which are homologous to a plant nucleotide sequence of interest. The oligonucleotides comprise both deoxyribonucleotides and ribonucleotides. To create a modified plant sequence the oligonucleotides contain within the region of homology to the native plant sequence at least one noncorresponding or heterologous base pair that replaces the naturally occurring sequence in the plant genome.

The chimeric oligonucleotide used for target site conversion consists of a single-stranded nucleic acid designed to form a duplex structure capped by single-stranded loops. One strand of the duplex pairs with the target sequence of the plant. This strand consists of a deoxyribonucleotide stretch of nucleotides with at least one mismatch to the target sequence, flanked by two sequence-specific targeting segments of 2'-O-methyl ribonucleotides. The lower strand of the duplex contains only deoxyribonucleotides. Homologous pairing between the chimeric oligonucleotide and the plant's target sequence and the strand transfer process are enhanced by the modified RNA residues.

The method involves converting or modifying the nucleotide sequence of interest in the plant cell by recombination or repair between homologous sequences, in the duplex oligonucleotide and the plant nucleotide sequence. The process exchanges the heterologous base pairs for the naturally occurring base pairs. Plants having the converted or modified sequence can be obtained. The methods are useful for making a variety of sequence-specific changes for applications such as site-specific mutagenesis, gene knockout, allelic replacement, and the like.

In a particular embodiment, compositions and methods for generating herbicide resistant plants are provided. The compositions comprise single covalently linked duplex oligonucleotides that are homologous with a nucleotide

sequence of interest except for base pairs necessary to convert the sequence to a herbicide resistant form of the gene. The method involves converting the naturally occurring nucleotide sequence in a plant by targeted gene conversion to create a herbicide resistance form of the gene. Herbicide resistant plants can be obtained  
5 from the method.

### BRIEF DESCRIPTION OF THE FIGURES

Figure 1 provides a chimeric oligonucleotide comprising three intervening blocks of RNA residues for modification of the maize EPSPS gene to a herbicide  
10 resistant form of the gene by converting two nucleotides at each of two amino acid residues of the target sequence. The linear (SED ID NO: 1) and active forms of the oligonucleotide are provided.

Figure 2 provides a chimeric oligonucleotide comprising three intervening blocks of RNA residues for modification of the maize EPSPS gene to a herbicide  
15 resistant form of the gene by converting one nucleotide at each of two amino acid residues of the target sequence. The linear (SEQ ID NO: 2) and active forms of the oligonucleotide are provided.

Figure 3 provides a chimeric oligonucleotide comprising two intervening blocks of RNA residues for modification of the maize EPSPS gene to a herbicide  
20 resistant form of the gene by converting two nucleotides at each of two amino acid residues of the target sequence. The linear (SED ID NO: 3) and active forms of the oligonucleotide are provided.

Figure 4 provides a chimeric oligonucleotide comprising two intervening blocks of RNA residues for modification of the maize EPSPS gene to a herbicide  
25 resistant form of the gene by converting one nucleotide at each of two amino acid residues of the target sequence. The linear (SEQ ID NO: 4) and active forms of the oligonucleotide are provided.

Figure 5 provides a chimeric oligonucleotide comprising two intervening blocks of RNA residues for modification of the maize EPSPS gene to a herbicide  
30 resistant form of the gene by converting two nucleotides at each of two amino acid residues of the target sequence. The first amino acid residue target is within a DNA region while the second amino acid residue target is within an RNA region. The linear (SEQ ID NO: 5) and active forms of the oligonucleotide are provided.

Figure 6 provides a chimeric oligonucleotide comprising two intervening blocks of RNA residues for modification of the maize EPSPS gene to a herbicide resistant form of the gene by converting one nucleotide at each of two amino acid residues of the target sequence. The first amino acid residue target is within a DNA region while the second amino acid residue target is within an RNA region. The linear (SEQ ID NO: 6) and active forms of the oligonucleotide are provided.

Figure 7 provides a chimeric oligonucleotide comprising two intervening blocks of RNA residues for modification of the maize EPSPS gene to a herbicide resistant form of the gene by converting two nucleotides at each of two amino acid residues of the target sequence. The first amino acid residue target is within an RNA region while the second amino acid residue target is within a DNA region. The linear (SEQ ID NO: 7) and active forms of the oligonucleotide are provided.

Figure 8 provides a chimeric oligonucleotide comprising two intervening blocks of RNA residues for modification of the maize EPSPS gene to a herbicide resistant form of the gene by converting one nucleotide at each of two amino acid residues of the target sequence. The first amino acid residue target is within an RNA region while the second amino acid residue target is within a DNA region. The linear (SEQ ID NO: 8) and active forms of the oligonucleotide are provided.

Figure 9 provides a chimeric oligonucleotide for a single amino acid modification of the maize EPSPS gene to a herbicide resistant form of the gene. The amino acid target here corresponds to the first of the two amino acid residues targeted by the chimeric oligonucleotides in Figures 1-8. The linear (SEQ ID NO: 9) and active forms of the oligonucleotide are provided.

Figure 10 provides a chimeric oligonucleotide for a single amino acid modification of the maize EPSPS gene to a herbicide resistant form of the gene. The amino acid target here corresponds to the second of the two amino acid residues targeted by the chimeric oligonucleotides in Figures 1-8. The linear (SEQ ID NO: 10) and active forms of the oligonucleotide are provided.

Figure 11 provides a chimeric oligonucleotide for a single amino acid modification at amino acid position 621 of the maize AHAS gene to a herbicide resistant form of the gene. The linear (SEQ ID NO: 11) and active forms of the oligonucleotide are provided.

Figure 12 provides a chimeric oligonucleotide for a single amino acid modification at amino acid position 165 of the maize AHAS gene to a herbicide

resistant form of the gene. The linear (SEQ ID NO: 12) and active forms of the oligonucleotide are provided.

Figure 13 provides a chimeric oligonucleotide for a single nucleotide modification which converts a stop codon to a codon encoding tyrosine in a transgene target previously introduced into maize (see text). The linear (SEQ ID NO: 13) and active forms of the oligonucleotide are provided.

### DETAILED DESCRIPTION OF THE INVENTION

10 Compositions and methods for targeted gene correction, conversion, or modification in plants are provided. The method involves the targeted correction, modification, or mutation of nucleotide sequences in a plant cell based on homology between a modifying oligonucleotide and the corresponding target plant DNA sequence. The compositions for use in the method are RNA-DNA hybrid  
15 oligonucleotides, referred to herein as chimeric oligonucleotides, that exploit the natural recombinogenicity of RNA-DNA hybrids. The chimeric oligonucleotides contain both DNA/DNA and RNA/DNA duplex regions with homology to the plant target locus or sequence. Such sequences are capable of introducing nucleotide changes at a high frequency in the target sequence.

20 The chimeric oligonucleotides of the invention are duplex oligonucleotides having a region of homology, the modifying sequence, with a gene or nucleotide sequence of interest in the plant, the target. The chimeric oligonucleotides comprise both deoxyribonucleotides and ribonucleotides. The chimeric oligonucleotides of the invention consist of a single-stranded nucleic acid designed  
25 to form a duplex structure that is capped by single-stranded loops. One strand of the duplex is capable of complementary pairing with the target sequence in the plant genome and comprises the modifying sequence, a DNA sequence of several nucleotides with at least one mismatch to the target sequence. This modifying DNA sequence is flanked by two sequence-specific targeting segments of several  
30 2'-O-methyl ribonucleotides. The opposite strand of the duplex comprises deoxyribonucleotides.

The design of the chimeric oligonucleotide, or vector, is based on the discovery that RNA-DNA hybrids are highly active in homologous pairing



reactions in vitro. Hairpin caps constructed at the ends of the hybrid molecule present no impediment to pairing, and protect the vector from exo-nucleolytic degradation. The vector is activated for recombination by the incorporation of RNA residues. Homologous pairing between the chimeric oligonucleotide and the target sequence as well as the strand transfer process are enhanced by the modified RNA residues.

The chimeric oligonucleotides of the invention can be constructed as single nucleic acid polymers. Thus, the chimeric oligonucleotides must contain at least one region, preferably at least two regions, of at least one base, preferably at least about two to about five bases, more preferably at least about three or about four bases that are not Watson-Crick paired. The unpaired bases serve as linkers or hairpin caps between the two strands of Watson-Crick paired bases.

By "duplex" is intended a single nucleic acid polymer which through intramolecular base pairing is capable of folding back on itself to form a double stranded molecule.

By "hybrid-duplex" is intended a Watson-Crick duplex molecule having both DNA and RNA. For example, a DNA molecule and its mRNA can form a hybrid duplex. A duplex molecule comprising two DNA molecules is a homoduplex.

U.S. Patent No. 5,565,350 ('350) describes chimeric oligonucleotides which are useful for targeted gene correction and methods for their use in cultured mammalian cells. The terminology used herein is patterned after the '350 patent. Such disclosure is herein incorporated by reference. The present invention utilizes the methods set forth in the 5,565,350 patent to correct gene sequences in plants. That is, the present oligonucleotides are designed to modify naturally occurring or native nucleotide sequences in plants by at least one nucleotide.

The chimeric oligonucleotides of the invention are designed as a single molecule, with two sequences that are inverted and complementary, capable of folding back on itself to form a duplex structure. The oligonucleotide comprises DNA residues with at least two intervening blocks of 2'-O-methyl RNA residues flanking a short stretch of DNA residues, a DNA block. The addition of 2'-O-methyl RNA residues provides added protection from RNase activity. The number of RNA residues may vary. Usually, from about three to about twenty,

preferably about four to about fifteen residues are utilized, more preferably about five to about ten residues. Generally, when the oligonucleotide is folded into the duplex conformation, one nucleotide strand is comprised of all DNA residues whereas the other strand is comprised of RNA-DNA blocks of residues.

5           The region of DNA flanked by the RNA regions is designed to introduce alterations in the target or complementing nucleotide sequence in the plant cell. Thus, it is recognized that the region of DNA residues which are flanked by the RNA residues may vary depending upon the changes to be incorporated into the native gene. That is, where a single point mutation is being inserted, a short stretch  
10 of DNA residues is utilized, generally from about three to about eight, preferably about five. Where multiple residues are being inserted into the plant genome, longer stretches of DNA will be necessary. Likewise, where more than one point mutation is being made, the stretch of DNA will be determined by the location of the specific site changes. Thus, it is recognized that the length of the DNA block  
15 or modifying sequence, may vary from about five to more than about 60 nucleotides; preferably from about eight to about 50 nucleotides, more preferably from about 10 to about 30 nucleotides.

It is further recognized that where more than one point mutation is being inserted into the genome, that the chimeric oligonucleotide can be constructed to  
20 have more than two flanking RNA sequences. That is, RNA residues may flank more than one modifying DNA sequence. Such a design may provide better stability and recombination efficiency where the sites of mutation or modification are not contiguous.

The oligonucleotide is designed to fold into a duplex conformation, the  
25 sequence of one strand comprising all DNA residues with the other strand comprising RNA-DNA blocks. In the same manner, it is recognized that the total length of the chimeric oligonucleotide will vary depending upon the target region in the plant cell. The chimeric oligonucleotides of the invention are intended to specifically introduce alterations into a target gene or nucleotide sequence. The  
30 genetic site of the alteration is determined by selecting a portion of the chimeric oligonucleotide to have the same sequence as the sequence of the target. That is, the portion of the chimeric oligonucleotide is homologous with the target site. The chimeric oligonucleotides are designed to have at least two homologous regions flanking an interposed heterologous region. The heterologous region contains the

"mutator" base pairs or sequence to be introduced into the target. In this manner, a predetermined alteration can be made in the target sequence.

Methods for construction of the chimeric oligonucleotides of the invention are known in the art. The oligonucleotides can be synthesized by solid phase synthesis. See, Caruthers, M.H. (1985) *Science* 230:281-285; Itakura *et al.* (1984) *Ann. Rev. Biochem.* 53:523-556. Such methods may be modified to permit the synthesis of RNA and RNA-DNA chimeric molecules. See, for example, Scaringe *et al.* (1990) *Nucleic Acids Research* 18:5433-5441; Usman *et al.* (1992) *Nucleic Acids Research* 20:6695-6699; Swiderski *et al.* (1994) *Anal. Biochem.* 216:83-88; Usman and Cedergren (1992) *Trends Biochem. Sci.* 17:334-339; Yoon *et al.* (1996) *Proc. Natl. Acad. Sci. USA* 93:2071-2076; Cole-Strauss *et al.* (1996) *Science* 273:1386-1389; and Kren *et al.* (1997) *Hepatology* 25:1462-1468. Such disclosures are herein incorporated by reference. Generally, chimeric oligonucleotides are constructed having a homo-duplex region interspersed between two hybrid-duplex regions. Two synthetic chimeric polynucleic acids, each having a hairpin conformation are constructed. The two molecules are synthesized with unique staggered free ends that correspond to specific restriction enzyme products. These staggered ends complement those that are constructed at each end of the homo-duplex region. The two chimeric nucleic acids and the homo-duplex region are then ligated together to form the complete hybrid-duplex molecule. General methods for DNA manipulation are known in the art. See, for example, Molecular Cloning, Sambrook *et al.* (eds.) Cold Spring Harbor Laboratory Press (1989).

As indicated, the compositions and methods of the invention are useful for targeted gene correction, site-specific mutagenesis, gene knockout, allelic replacement and genetic modification of plant genomes. Furthermore, the methods can be used to create a predetermined nucleotide pair mismatch in a target sequence of the genome of a plant or plant cell upon which endogenous mismatch repair mechanisms can operate to create a nucleotide alteration at or near the target sequence. The methods are useful for modulating the activity of genes of interest. In one embodiment, herbicide resistant plants are created by modifying existing genes within the plant genome. Such genes include the 5-enol pyruvylshikimate-3-phosphate synthase (EPSPS) gene and the acetohydroxy acid synthase (AHAS or ALS). Alternatively, the methods are useful for inactivating a gene, particularly a

gene that has been introduced into the plant genome by transformation methods. Genes of particular interest include herbicide, S marker (scorable and selectable) genes and the like.

In this manner, the chimeric oligonucleotides of the invention can be used to introduce a modification in a specific genomic location in a target plant cell. The specific location of the modification is defined by the nucleic acid sequence called the target sequence. According to the methods of the invention, the chimeric oligonucleotide is constructed wherein the homologous regions are identical to the target sequence, except for the presence of some heterologous nucleotides of the hybrid-duplex. The change to be introduced is encoded by the heterologous region. As indicated above, the change may be in substituting one or more than one bases of the sequence, adding one or more bases, or deleting one or more bases in the native gene.

The constructed chimeric oligonucleotides are introduced into the nucleus of the plant cell by any method available in the art. In this manner, genetically modified plants, plant cells, plant tissue, seed, and the like can be obtained. The chimeric oligonucleotides may be introduced into the plant by one or more techniques typically used for direct DNA delivery into cells. Such protocols may vary depending on the type of plant or plant cell, i.e. monocot or dicot, targeted for gene modification. Suitable methods of transforming plant cells include microinjection (Crossway *et al.* (1986) *Biotechniques* 4:320-334), electroporation (Riggs *et al.* (1986) *Proc. Natl. Acad. Sci. USA* 83:5602-5606, direct gene transfer (Paszowski *et al.* (1984) *EMBO J.* 3:2717-2722), and ballistic particle acceleration (see, for example, Sanford *et al.*, U.S. Patent No. 4,945,050; WO 91/10725 and McCabe *et al.* (1988) *Biotechnology*, 6:923-926). Also see, Weissinger *et al.* (1988) *Annual Rev. Genet.* 22:421-477; Sanford *et al.* (1987) *Particulate Science and Technology* 5:27-37 (onion); Christou *et al.* (1988) *Plant Physiol.* 87:671-674 (soybean); McCabe *et al.* (1988) *Biotechnology* 6:923-926 (soybean); Datta *et al.* (1990) *Biotechnology* 8:736-740 (rice); Klein *et al.* (1988) *Proc. Natl. Acad. Sci. USA*, 85:4305-4309 (maize); Klein *et al.* (1988) *Biotechnology*, 6:559-563 (maize); WO 91/10725 (maize); Klein *et al.* (1988) *Plant Physiol.* 91:440-444 (maize); Fromm *et al.* (1990) *Biotechnology* 8:833-839; and Gordon-Kamm *et al.* (1990) *Plant Cell* 2:603-618 (maize);

- Hooydaas-Van Slogteren & Hooykaas (1984) *Nature (London)* 311:763-764;  
Bytebier *et al.* (1987) *Proc. Natl. Acad. Sci. USA* 84:5345-5349 (Liliaceae); De  
Wet *et al.* (1985) In *The Experimental Manipulation of Ovule Tissues*, ed. G.P.  
Chapman *et al.*, pp. 197-209. Longman, NY (pollen); Kaeppler *et al.* (1990)  
5 *Plant Cell Reports* 9:415-418; and Kaeppler *et al.* (1992) *Theor. Appl. Genet.*  
84:560-566 (whisker-mediated transformation); U.S. Patent No. 5,693,512  
(sonication); D'Halluin *et al.* (1992) *Plant Cell* 4:1495-1505 (electroporation); Li  
*et al.* (1993) *Plant Cell Reports* 12:250-255 and Christou and Ford (1995) *Annals*  
*of Botany* 75:407-413 (rice); Osjoda *et al.* (1996) *Nature Biotechnology* 14:745-  
10 750; all of which are herein incorporated by reference.

The cells which have been altered by the methods of the invention may be  
grown into plants in accordance with conventional ways. See, for example,  
McCormick *et al.* (1986) *Plant Cell Reports* 5:81-84. These plants may then be  
grown, and either pollinated with the same transformed strain or different strains,  
15 and the resulting hybrid having the desired phenotypic characteristic identified.  
Two or more generations may be grown to ensure that the subject phenotypic  
characteristic is stably maintained and inherited.

The following examples are offered by way of illustration and not by way  
of limitation.

20

## EXPERIMENTAL

### Example 1

#### Design of Chimeric Oligonucleotides

N-phosphonomethylglycine, also known by its common name glyphosate is  
25 widely used as a herbicide. Herbicide tolerant plants have been constructed by  
utilizing EPSPS genes which are capable of conferring tolerance to a plant. In  
these instances, glyphosate resistance genes are recovered and utilized to transform  
plant cells and regenerated plants obtained which are glyphosate resistant. A  
glyphosate resistant gene has been published carrying double mutations. See, WO  
30 97/04103, herein incorporated by reference. The publication describes the  
transformation of tobacco with the mutant form of the gene.

The mutant form of the gene conferring glyphosate resistance can be  
created utilizing the targeted gene correction method described herein. Two

chimeric oligonucleotides are designed as a single molecule with two sequences that are inverted and complementary, capable of folding back on itself to form a duplex structure. One form of the molecule comprises DNA residues with three intervening blocks of 2'-O-methyl RNA residues. The linear and active forms of  
5 such oligonucleotides are depicted in Figures 1 and 2. See SEQ ID NOs: 1 and 2 for the linear forms.

Chimeric oligonucleotides can also be constructed having two intervening blocks of RNA residues. See Figures 3 to 8 for the linear forms (SEQ ID NOs: 3-8) and active oligonucleotide conformations of these molecules.

10 Alternatively, two chimeric oligonucleotides can be constructed, each singly designed to target creation of one or the other of the two mutations described in Figures 1 to 8. See Figures 9 and 10 for the linear (SEQ ID NOs: 9-10) oligonucleotide as well as the active oligonucleotide conformations. In this example, the two constructs can be introduced into a plant cell separately or  
15 together.

#### Synthesis and Purification of Oligonucleotides

The chimeric oligonucleotides are synthesized on a 0.2-  $\mu$ mol scale by using the 1000-Å-wide-pore CPG on the ABI 394 DNA/RNA synthesizer. The  
20 exocyclic amine groups of DNA phosphoramidites (Applied Biosystems) are protected with benzoyl for adenosine and cytidine and isobutyryl for guanosine. The 2'-O-methyl RNA phosphoramidites (Glen Research, Sterling, VA) are protected with a phenoxyacetyl group for adenosine, dimethylformamide for guanosine and an isobutyryl group for cytidine. After the synthesis is complete,  
25 the base-protecting groups are removed by heating in ethanol/concentrated ammonium hydroxide, 1:3 (vol/vol), for 20 h at 55°C. The crude oligonucleotides are purified by polyacrylamide gel electrophoresis. The entire oligonucleotide sample is mixed with 7 M urea/10% (vol/vol) glycerol, heated to 70°C, and loaded on a 10% polyacrylamide gel containing 7 M urea. After gel electrophoresis, DNA  
30 is visualized by UV shadowing, dissected from the gel, crushed, and eluted overnight in TE buffer (10 mM Tris·HCl/1 mM EDTA, pH 7.5) with shaking. The eluent containing gel pieces is centrifuged through 0.45-  $\mu$ m (pore size) spin filter (Millipore) and precipitated with ethanol. Samples are further desalted with a G-

25 spin column (Boehringer Mannheim) and greater than 95% of the purified oligonucleotides are found to be full length.

Chimeric Oligonucleotide Delivery into Plant Cells

- 5 A. Delivery of chimeric RNA-DNA oligonucleotides into maize BMS cells by projectile bombardment

Day 1

Preparation of Cells:

- 10           1. Set up a plastic funnel with Miracloth on a 500 ml side arm flask and connect to a vacuum.
2. Transfer growing BMS cells from one flask to the funnel.
3. Apply vacuum to remove the liquid medium and dry cells as thoroughly as possible.
- 15           4. Disconnect the top of the funnel. Weigh and transfer about 2.5 g of cells into tubes.
5. Add 10 ml of 589 medium into each tube, mix cells by pipetting, bring total volume to 50 ml with 589 [Dilute cells 1:20 (w/v) with liquid medium].
6. Set up a glass funnel with filter discs on a side arm flask connected
- 20 to a vacuum.
7. Apply 5 ml aliquots to each filter disc, turn on the vacuum to dry cells. Turn off the vacuum and transfer cells with the filter disc to 115 solid medium. Culture in the dark.

25 Day 2 - Chimeric Oligonucleotide Delivery by Particle Bombardment

1. Dry cells with glass filter and vacuum. Transfer the cell disc from the medium to a filter-filled petri dish to dry the cells. Label cells for the experiments.
2. Sterilize the microcarrier with 95% ethanol and air dry the edge of a
- 30 large petri dish. Set the microcarrier into the sterilized carrier holder. Soak the 650 psi rupture discs in 100% isopropanol.

3. Label and dilute oligonucleotides to  $1\mu\text{g}/\mu\text{l}$  in 0.5 ml sterilized centrifuge tube. Mix 2.5  $\mu\text{l}$  of  $1\mu\text{g}/\mu\text{l}$  oligonucleotides with 7.5  $\mu\text{l}$  of RNase-free water.
4. Set sonicator at 8-9, sonicate the gold particles briefly. Distribute 2.5  $\mu\text{l}$  gold particles into each 1.5 ml sterilized centrifuge tube immediately after sonication. Spin down the particles, discard the supernatants.
5. Distribute 10  $\mu\text{l}$  oligonucleotides per tube. Mix with particles by sonication. Add 16.5  $\mu\text{l}$  of  $2.5\mu\text{M}$   $\text{CaCl}_2$  and 6.6  $\mu\text{l}$  of 0.1M spermidine and gently shake for 5-10 min. Centrifuge the mixture for 10 seconds at 10,000 rpm.
- 10 Discard the supernatant. Sterilize the oligonucleotides and particles by adding 125  $\mu\text{l}$  of 100% ethanol, sonicate and centrifuge briefly, discard the supernatant.
6. Dilute the oligonucleotide/particle mixture to 60  $\mu\text{l}$  with ethanol. Distribute 10  $\mu\text{l}$  onto each macrocarrier (6 shots).
7. Set helium gauge to 900 psi. Turn vacuum pump on (switch, valve, knob).
- 15 8. Sterilize the environment. Turn particle gun power on, set screen, microcarrier, rupture discs, and cells. Let vacuum reach 28mm Hg, hold, fire the gun, release vacuum.
9. Transfer cells back to culture medium, and culture in the dark.

20

#### Day 4 - Initiate Selection

#### B. Delivery of chimeric RNA-DNA oligonucleotides into maize HiII cells by projectile bombardment:

25

#### Day 1

#### Preparation of Cells:

1. Set up a plastic funnel with Miracloth on a 500 ml side arm flask with parafilm seals and connect to a vacuum.
- 30 2. Add 80-100 ml of 559 liquid medium to the funnel until it reaches to the bottom of the mesh.
3. Transfer the HiII callus from plates to the funnel, and break the callus by pushing through the mesh with the plunger of a 60 ml syringe.



4. Apply vacuum to the liquid medium and dry cells as thoroughly as possible.
5. Disconnect the top of the funnel. Weigh and transfer 2.5 g cells into tubes.
- 5 6. Add 10 ml of 559 medium to each tube, mix cells by pipetting, bring total volume to 50 ml with 559 [Dilute cells 1:20 (w/v) with liquid medium].
7. Set up a glass funnel with filter discs on a side arm flask connected to vacuum.
8. Apply 5 ml aliquots to each filter disc, turn on the vacuum to dry  
10 cells. Turn off the vacuum and transfer cells with filter disc to 608 solid medium (560P without, 2,4-D). Culture in the dark.

#### Day 2

##### Chimeric Oligonucleotide Delivery by Particle Bombardment:

- 15 1. Dry cells with glass filter and vacuum. Transfer the cell disc from the 608 medium to a filter-filled petri dish to dry the cells.
2. Sterilize the microcarrier with 95% ethanol and air dry the edge of a large petri dish. Set the microcarrier into the sterilized carrier holder. Soak the 650 psi rupture discs in 100% isopropanol.
- 20 3. Label and dilute oligonucleotides to 1  $\mu\text{g}/\mu\text{l}$  in 0.5 ml sterilized centrifuge tube. Mix 2.5  $\mu\text{l}$  of 1  $\mu\text{g}/\mu\text{l}$  oligonucleotides with 7.5  $\mu\text{l}$  of RNase-free water.
4. Set sonicator at 8-9, sonicate the gold particles briefly. Distribute 25  $\mu\text{l}$  old particles into each 1.5 ml sterilized centrifuge tube immediately after  
25 sonication. Spin down the particles, discard the supernatants.
5. Distribute 10  $\mu\text{l}$  oligonucleotides per tube. Mix with particles by sonication. Add 16.5  $\mu\text{l}$  of 2.5  $\mu\text{M}$   $\text{CaCl}_2$  and 6.6  $\mu\text{l}$  of 0.1M spermidine and gently shake for 5-10 min. Centrifuge the mixture for 10 seconds at 10,000 rpm. Discard the supernatant. Sterilize the oligonucleotides and particles by adding 125  
30  $\mu\text{l}$  of 100% ethanol, sonicate and centrifuge briefly, discard the supernatant.
6. Dilute the oligonucleotide/particle mixture to 60  $\mu\text{l}$  with ethanol. Distribute 10  $\mu\text{l}$  onto each microcarrier.
7. Set helium gauge to 900 psi. Apply vacuum.

8. Turn vacuum on, let reach 28 mm Hg, hold, fire gun, release vacuum.
9. Transfer cells back to culture medium, and culture in the dark.

#### 5 Day 4

##### Initiate Selection

C. Selection for chemical resistance conferred by introducing a mutation in a maize EPSPS gene

10

##### Selection of glyphosate-Resistant HiII Cells:

1. Two days after bombardment, transfer the cell from growth medium plates to 2.5 mM glyphosate media, and culture in the dark.
2. Six days after bombardment, prepare 50 ml centrifuge tubes, add 5  
15 ml melted medium to each.
3. Transfer cells from the filter discs to the tubes when the agar cools down. Mix cells by repeatedly pipetting.
4. Distribute 2.5 ml cell mixture to each plate containing 2.5 mM glyphosate. Uniformly plate cells. Culture in the dark.
- 20 5. Select the growing cells.

##### Selection of glyphosate-Resistant BMS Cells:

1. Two days after bombardment, prepare 50 ml centrifuge tubes, add 4-5 ml liquid medium containing glyphosate.
- 25 2. Transfer cells from the filter discs to the tubes. Mix cells by repeatedly pipetting with a wide-mouth 10 ml glass pipet.
3. Distribute 1 ml cell mixture to each plate containing glyphosate medium.
4. Select the growing cells.

30

#### Example 2

##### Materials and Methods

*Transformation vectors* - The plasmid pPHP10247 contains the *in vitro* mutagenized maize AHAS gene encoding the Ser621Asn mutant form of AHAS.

This gene is under the control of the 2.0 kb maize Ubiquitin-1 promoter (bases -899 to +1093, Christensen *et al.* (1992) *Plant Mol. Biol.* 18:675-689) and a 280 bp fragment containing the nopaline synthase polyadenylation signal (Bevan *et al.* (1983) *Nucl. Acids Res.* 11:369-385). The plasmid pPHP12322 contains the maize Ubiquitin-1 promoter driven, *in vitro* mutagenized maize AHAS gene which encodes the Pro165Ala mutant form of AHAS. The plasmid used to confer resistance to the herbicide bialaphos, pPHP3528, consists of an enhanced CaMV35S promoter (bases -421 to -90 and -421 to +2, Gardner *et al.* (1981) *Nucl. Acids Res.* 9:2871-2888), a 79 bp fragment from the 5' leader sequence of tobacco mosaic virus (Gallie *et al.* (1987) *Nucl. Acids Res.* 15:3257-3273), the first intron of the maize ADH1-S gene (Dennis *et al.* (1984) *Nucl. Acids Res.* 12:3983-3990), the coding sequence of the *Streptomyces hygroscopicus bar* gene (Thompson *et al.* (1987) *EMBO J.* 6:2519-2523), and the potato proteinase II (pinII) terminator (bases 2 to 310, An *et al.* (1989) *Plant Cell* 1:115-122). Both pPHP10247 and pPHP3528 have pUC derived backbones.

For the transgene target a translational fusion between phosphinothricin-N-acetyltransferase, *pat* (Wohlleben *et al.* (1988) *Gene* 70:25-37) and the green fluorescence protein, GFP (Prasher *et al.* (1992) *Gene* 111:229-233) was created. *pat* is a functional analog of the *bar* gene that similarly detoxifies Bialaphos. The coding sequences of GFP and *pat* have been modified to utilize maize preferred codons to enhance expression, these modified genes are referred to as GFPm and mo-PAT respectively. See, for example, U.S. application 09/003,287. A fusion was initially created by cloning the 3' BglII site in mo-PAT to a 5' flanking BamHI site on GFPm. Site directed mutagenesis (MORPH kit, 5'-3' Boulder, CO) was then used to remove the start codon (ATG) from GFPm to ensure low background expression of GFPm in target lines. Using the oligonucleotide PHN14593, 5'CGGTGACGCAGATCTATCCAACATTGTCCAAGGGC3', the BglII site was recreated in mo-PAT and the start codon of GFPm was removed simultaneously. Four amino acids, YPTS, form the junction in the mo-PAT/GFPm sequence. The vector pPHP10699, is a positive control mo-PAT/GFPm fusion cloned under the control of the maize Ubiquitin-1 promoter and pinII terminator in a pUC-derived plasmid backbone.

To create the target sequence for correction, the native *pat* stop codon (TGA) was inserted in the junction of mo-PAT/GFPm. Site-directed mutagenesis of pPHP10699 with oligonucleotide PHN16214, 5'GGTGACGCAGATCTAGGTACCATCGTCCAAGGGCGAG3', was used to  
5 change the junction sequence from YPTS to \*VPS and to introduce a *KpnI* site adjacent to the stop codon. This creates a sequence that only expresses mo-PAT, but with correction to remove the stop codon, GFPm expression results. When making corrections to this target, changing the TAG stop codon to TAC also knocks out the *KpnI* site and creates a novel *SnaBI* site. The vector pPHP11207,  
10 contains the mo-PAT/TAG/GFPm target sequence, cloned with the maize Ubiquitin-1 promoter and pinII terminator, and inserted into a superbinary vector pSB1 for *Agrobacterium* mediated transformation of maize (Ishida *et al.* (1996) *Nature Biotech.* 14:745-750).

*Cell Culture, transformation, and selection* - Friable embryogenic calli  
15 (type II) were initiated from immature embryos of the "HiII" maize germplasm (Armstrong *et al.* (1991) *Maize Genet. Coop. Newslett.* 65:92-93), and maintained on N6 basal media with 3% (w/v sucrose, 2 mg/l 2,4-dichlorophenoxyacetic acid (2,4-D), 0.7 mg/l L-proline, 0.85 mg/l AgNO<sub>3</sub>, and 0.3% (w/v) GELRITE® (Sigma, St. Louis). Nonregenerable maize Black Mexican sweet (BMS-S4)  
20 suspension cultures were maintained in a liquid MS medium containing 2 mg/l 2,4-D. The cultures were incubated at 26°C on a shaker in the dark. Cells were harvested and transformed according to Kiriara (1994).

The transgenic AHAS mutants were established by co-transformation of one of the plasmids pPHP10247 (AHAS621) or pPHP12322 (AHAS165) and  
25 pPHP3528 using particle bombardment-mediated transformation according to the procedures of Tomes *et al.* (1995) *Plant Cell Tissue and Organ Culture: Fundamental Methods*; Gamborg OL, Philips GC (eds.) pp. 197-213. Springer-Verlag, Berlin, Heidelberg. Plasmid particle loading and delivery were done with a helium-powered particle acceleration device, PDS 1000 (Bio-Rad, Hercules,  
30 CA). Transformants expressing the *bar* gene were selected on media containing 3 mg/l bialaphos (Meiji Seika, Yokohama, Japan), according to Register *et al.* (1994) *Plant Mol. Biol.* 25:951-961, and further selected on imazethapyr or chlorsulfuron (see below).

Stable HiII cell lines with a maize codon usage optimized moPAT/GFPm transgene were established via *Agrobacterium*-mediated transformation (Ishida *et al.* (1996) *Nature Biotech.* 14:745-750). Transformed immature embryos were selected on media containing 3 mg/l bialaphos.

5            Selection of AHAS mutants was conducted according to Anderson and Georgeson (1989) *Genome* 31:994-999 with modification. Following microprojectile bombardment with chimeric oligonucleotides, cells were resuspended in liquid medium and uniformly plated on solid culture medium containing either 0.7  $\mu$ M imazethapyr for AHAS621, (AC263, 499, or Pursuit®,  
10   technical grade, American Cyanamid, Princeton, NJ) or 20 ppb chlorsulfuron for AHAS165 (Glean®, technical grade, DuPont, Wilmington, DE). Cells were cultured in the dark room at 25°C, 75% relative humidity for 4-6 weeks. Putative events were subsequently selected in fresh media containing 1.0-2.0  $\mu$ M imazethapyr or 50 ppb chlorsulfuron.

15           Plants were regenerated from HiII embryogenic callus containing verified converted PAT/GFP according to (Armstrong *et al.* (1991) *Maize Genet. Coop. Newslett.* 65:92-93, Register *et al.* (1994) *Plant Mol. Biol.* 25:951-961). Developing T0 plantlets were transferred to soil and grown to maturity in the greenhouse. Seeds of T1 were collected and germinated for the segregation  
20   analysis.

*Oligonucleotide synthesis, purification, labeling and plant nuclease-resistance* - Chimeric RNA/DNA oligonucleotides PHPC917A, PHPC165A, and PHPC621A were synthesized and purified according to Yoon *et al.* (1996) *Proc. Natl. Acad. Sci. USA* 93:2071-2076 and Cole-Strauss *et al.* (1997) *Antisense Nucl. Acid Drug Dev.* 7:211-216. The crude oligonucleotides were purified by PAGE  
25   and analyzed by HPLC. DNA and 2'-O-methyl RNA oligonucleotides were synthesized in a similar fashion using either DNA or 2'-O-methyl RNA phosphoramidites. Hybrid molecules of DNA and 2'-O-methyl RNA were prepared by denaturing and re-annealing. Chimeric oligonucleotide SC2 (Cole-  
30   Strauss *et al.* (1996) *Science* 273:1386-1389) was 3'-end labeled with tetramethylrhodamine-6-dUTP (Boehringer Mannheim, Indianapolis, IN) using terminal transferase according to the manufacturer's instructions.

*Nuclease digestion of oligonucleotides* - Whole cell extract (WCE) was prepared from maize BMS cells using a Bionebulizer (Glas-Col, Terre Haute, IN) according to Mahajan and Zuo (submitted). Double-strand DNA, 2'-O-methyl-RNA, DNA/RNA hybrid, and RNA/DNA chimera, with similar length and  
5 secondary structure, were labeled with  $^{32}\text{P}$  using T4 polynucleotide kinase, and diluted to approximately 50,000 cpm/ $\mu\text{l}$ . Samples with the same amount of radioactivity were incubated with WCE at 17°C for 90 minutes. Controls included incubation in sterilized water and WCE inactivated at 65°C for 5-10 minutes. Results were examined by 12% polyacrylamide gel electrophoresis (PAGE) and  
10 autoradiography. The percentages of intact oligonucleotide in each sample were quantified from the autoradiogram using AlphaEase software (Alpha Innotech, San Leandro, CA).

*Delivery of chimeric oligonucleotides* - Cultured maize cells were suspended in liquid N6 media and then plated on a glass fiber filter (VWR,  
15 Westchester, PA). Chimeric oligonucleotides were delivered into the maize cells via biolistic bombardment. Oligonucleotides (0.4  $\mu\text{g}$ ) were co-precipitated with 15  $\mu\text{l}$  of 2.5  $\mu\text{M}$   $\text{CaCl}_2$  and 5  $\mu\text{l}$  of 0.1 M spermidine onto 25  $\mu\text{g}$  of 1.0  $\mu\text{m}$  gold particles (Analytical Scientific Instruments, Richmond, CA). Microprojectile bombardment was performed using a PDS-1000 He particle delivery system  
20 (BioRad, Hercules, CA) with 650 psi bombardment pressure, 9 cm target distance and osmotic pretreatment.

*Fluorescent microscopy* - The *in vivo* fate of the rhodamine-labeled chimeric oligonucleotides was monitored using a Leica DM RB microscope (Wetzlar, Germany) with filter #41002b (Chroma Technology, VT). Images were  
25 recorded by a CH350 CCD camera (Photometrix Inc., Tucson, AZ). Superimposed images were processed using Adobe Photoshop 4.0. Green fluorescence from GFP expressing cells were surveyed using a Leica MD-10 epifluorescence microscope with a Leica GFP filter set (10446093) four days after transformation. Images were recorded on the Fujichrome Sensia (ASA400).

30 *PCR amplification and sequence analysis* - Target sequences were amplified from the extracted genomic DNA of putative events, by *Pwo* or *Taq* polymerase (Boehringer Mannheim, Indianapolis, IN) with 30 cycles of 35 seconds at 95°C, 35 seconds at 60°C, and 35 seconds at 72°C using a MJ thermocycler (MJ

Research, Watertown, MA). For the AHAS621 target, primers common to both AHAS108 and AHAS109 were designed with the following sequences:

5'GCAGTGGGACAGGTTCTAT (PHN21971) and

5'AGTCCTGCCATCACCATCCA (PHN21972). For the AHAS165 target, the

- 5 following primers were used: 5'ACCCGCTCCCCCGTCAT (PHN21973) and  
5'ATCTGCTGCTGGATGTCCTTGG (PHN21974). For the moPAT/GFPm  
target, primers used were: 5'CGCAACGCCTACGACTGGA (PHN21976) and  
5'TGATGCCGTTCTTCTGCTTGTC (PHN21978). PCR fragments were purified  
and either cloned (see below) or directly sequenced in both directions on an ABI  
10 377 automated sequencer.

- RFLP analysis and cloning* - PCR fragments were digested with excess  
BfaI (New England BioLabs, Beverly, MA), and analyzed by electrophoresis on  
gels containing 2% Metaphor and 1.5% LE agarose (FMS, Rockland, ME) using  
1X Tris-borate EDTA. Undigested bands with mutant alleles were extracted and  
15 purified from gel slices using a QIAquick gel extraction kit (Qiagen, Valencia,  
CA) and subcloned into the cloning vectors pCR2.1®-TOPO or pCR-Blunt®  
(Invitrogen, San Diego, CA). Vectors containing subcloned fragment were  
transformed into competent *E. coli* One-Shot™ Top10 cells (Invitrogen, San  
Diego, CA). Cloned fragments were sequenced using M13 forward and reverse  
20 primers.

## Results

### Introduction of chimeric oligonucleotides into maize cells

- 25 *Resistance of chimeric oligonucleotides to maize nucleases* - In order to  
evaluate the stability of chimeric oligonucleotides in plant cells, a preliminary *in*  
*vitro* assay was conducted. Radioactively labeled dsDNA, ds2'-O-methyl-RNA,  
DNA-2'-O-methyl-RNA hybrid, and DNA-2'-O-methyl-RNA chimeric  
oligonucleotides with similar length and secondary structure to PHPC917A were  
30 incubated with whole cell extract (WCE) from maize. Quantitative analysis  
indicated each type of oligonucleotide was degraded to about the same extent in  
maize WCE, with approximately 40-50% remaining undegraded after 90 minutes  
of incubation.

### *Delivery of chimeric oligonucleotides and accumulation in maize nuclei* -

- 35 Microprojectile bombardment was used to introduce chimeric oligonucleotides into

maize cells. To monitor their *in vivo* fate, rhodamine-labeled chimeric oligonucleotide SC2 (Cole-Strauss *et al.* (1996) *Science* 273:1386-1389) was bombarded into onion epidermis and BMS maize suspension culture cells. After bombardment, cells were rinsed with liquid culture media, and examined by  
5 fluorescent microscopy at 30 minutes, 1 hour, 2 hours, and 24 hours after bombardment. Other than a diffuse signal in the cytoplasm, rhodamine fluorescence was mainly localized in nuclei, and occasionally associated with gold particles within cells. These data indicate that chimeric oligonucleotides accumulate preferentially in the nuclei of these plant cells. At 24 hours after  
10 bombardment, the rhodamine fluorescence was either very weak or no longer visible.

#### Conversion of maize endogenous AHAS

15        *Strategy used for conversion of maize AHAS* - According to previous studies, a dominant single point mutation from G to A at nucleotide 1958 of the AHAS coding sequence will lead to resistance to the herbicide imidazolinone in *Arabidopsis*. This mutation results in an amino acid substitution from Ser (AGT) to Asn (AAT) at the carboxy terminal of the mature AHAS. (Sathasivan *et al.*  
20 (1991) *Plant Physiol.* 97:1044-1050, Ott *et al.* (1996) *J. Mol. Biol.* 263:359-368). The corresponding region (DNA sequences flanking the Ser621 position) was identified in maize and selected for use in the conversion experiments. In maize, there are two copies of AHAS108 and five copies of AHAS109 genes which are identical in nucleotide sequence at the target set (Fang *et al.* (1992) *Plant Mol.*  
25 *Biol.* 18:1185-1187, Zhu *et al.* unpublished data). Chimeric oligonucleotide PHPCA621 matches the sequence surrounding Ser621 of both loci, and was designed to modify Ser621 to Asn, while simultaneously removing a BfaI site (Fig. 11 and SEQ ID NO: 11).

Another target selected for this study is maize AHAS Pro165 which  
30 corresponds to ALS Pro196 in tobacco (Lee *et al.* (1988)). The dominant mutation Pro165A1a caused by a single nucleotide substitution from a CCG to a GCG leads to sulfonyleurea herbicide resistance in many species (Lee *et al.* (1988), Bedbrook *et al.* (1991), U.S. Patent No. 5,013,659). Chimeric oligonucleotide PHPCA165 (Fig. 12 and SEQ ID NO: 12) was designed to introduce this mutation into both



AHAS108 and AHAS109, since two sequences at this target site are identical. However, no alteration of restriction site was associated with this change.

*Recovery of herbicide-resistance events* - PHPCA621 and PHPCA165 were introduced into maize cells by microprojectile bombardment respectively. Four to six weeks after initial selection, resistant calli were identified and further sub-cultured on media containing 1-2  $\mu$ M imazethapyr or 50 ppb chlorsulfuron for at least three months. A number of putative events selected for resistance to 1  $\mu$ M imazethapyr or 50 ppb chlorsulfuron are summarized in Table 1. These putative events showed the same degree of resistance as the positive mutant control calli, which were created independently by transformation with a vector expressing an engineered Ser621Asn or Pro165Ala mutant form of maize AHAS driven by the maize Ubiquitin-1 promoter. In various negative controls (see below), five spontaneous mutants resistant to imazethapyr were identified from 86 plates (each plate contains approximately  $10^6$  cells), and one spontaneous mutant resistant to chlorsulfuron was selected from 50 plates; thus the frequency of spontaneous mutation conferring imazethapyr or chlorsulfuron resistance was determined to be  $10^{-7}$ - $10^{-8}$ .

Table 1. Summary of gene conversion experiments

Target	Cell type	Plates bombarded	Total cells receiving oligos <sup>a</sup>	Putative events selected	Events analyzed <sup>b</sup>	Confirmed mutation <sup>c</sup>	Predicted conversion frequency <sup>d</sup>
AHAS621	Hill	130	$2 \times 10^5$	40	18	13	$1.4 \times 10^{-4}$
AHAS165	BMS	86	$9 \times 10^4$	29	11	9	$1.0 \times 10^{-4}$
PAT/GFP (T0)	Hill	48	$5 \times 10^4$	11	1	1	$1.5 \times 10^{-4}$
PAT/GFP (T1)	Hill	89	$9 \times 10^4$	139	N/D	N/D	$1.1 \times 10^{-3}$

<sup>a</sup> Total cells receiving chimeric oligonucleotides were estimated by transient expression of GFP using bombardment of pPHP10699 (16).

<sup>b</sup> Events were selected by their herbicide resistance or GFP phenotypes, and analyzed by direct sequencing of PCR products or, where applicable, by RFLP and cloning.

<sup>c</sup> Mutations induced by chimeric oligonucleotides include those with the desired base change at the target site, as well as base changes at positions adjacent to the target sites (see Table 2).

<sup>d</sup> Predicted conversion frequency = (putative events selected x molecular confirmation rate)/total cells receiving chimeric oligonucleotides

*In vivo site-directed mutations responsible for herbicide-resistant events -*

Fragments containing the targeted region of AHAS from herbicide resistant calli were amplified by PCR for sequence analysis. For AHAS621, mutant alleles were first identified by RFLP using BfaI. Fragments containing the wild-type allele produced  
5 restriction fragments of 244 bp and 44 bp following digestion, while fragments with the mutant allele remained unrestricted by BfaI. In the positive control where multiple copies of mutant AHAS were introduced by bombardment (data not shown), there are approximately equal amounts of restricted and unrestricted fragments, indicating multiple copies of endogenous wild-type AHAS. Among the fragments  
10 amplified from herbicide resistant calli, the restricted fragments are still dominant, suggesting a limited conversion at the target site. However, a band corresponding to unrestricted fragment was clearly present. The unrestricted fragments were isolated and cloned. Sequence analysis indicated that more than 80% of the clones contain the expected change (G to A). Unexpectedly, additional alterations in the adjacent bases  
15 were also detected in some sequenced fragments, although at a low frequency. Three alternative mutations observed are shown in Table 2 along with the specific predicted Ser→Asn conversions which predominated. Each of these mutated sequences also resulted in loss of the BfaI restriction site.

Table 2. Summary of mutations induced by chimeric oligonucleotides

Target	<u>Predicted change</u>		<u>Observed change</u>		<u>Frequency of sequence</u>	
	Nucleotide	Amino Acid	Nucleotide	Amino Acid	Clones <sup>a</sup>	observed PCR fragments
AHAS621	AGT→AAT	Ser621Asn	AGT→AAT	Ser621Asn	34/40	13/16
			AGT→GGT	Ser621Gly	3/40 <sup>b</sup>	0/16
			CCT→CCC	Pro620Pro	2/40 <sup>b</sup>	0/16
			CCT→CAT	Pro620His	1/40 <sup>b</sup>	0/16
AHAS165	CCG→GCG	Pro165Ala	CCG→GCG	Pro165Ala	--	2/12
			CCG→TCG	Pro165Ser	--	7/12
			CCG→ACG	Pro165Thr	--	1/12
	TAG→TAC	Ter996Tyr	TAG→TAT	Ter996Tyr	--	1/1

<sup>a</sup> Clones were analyzed from 2 independent events.<sup>b</sup> Frequency enriched by *Bfa*I restriction enzyme digestion.

Sequence alterations from additional calli were examined by direct sequencing of fragments amplified by PCR. Mutated target sequences were observed in fragments amplified from 11 out of 16 imazethapyr resistant calli and two positive control calli, but not in wild-type calli. Thus, 69% of herbicide resistant cells exhibited mutations at or near the target site that could be attributed to chimeric oligonucleotide-mediated gene conversion.

For AHAS165, targeted PCR fragments from resistant calli and transgenic positive control were sequenced directly. In the transgenic control, the predicted change was detected from the chromatograms. In samples of three chlorsulfuron-resistant calli analyzed, a single nucleotide substitution at the predicted position was also revealed. However, a T rather than an expected G was introduced which resulted in a Pro→Ser conversion (Table 2). Since a substitution for Pro165 with any amino acid will confer chlorsulfuron resistance (Bedbrook *et al.* (1991) U.S. Patent No. 5,013,659), the mutation induced by the chimeric oligonucleotide PHPCA165 resulted in the same expected phenotype.

No mutations at the target position in various negative controls, and no other mutations within the 800 bp surrounding region were found in any of the clones derived from the manipulated cells. The negative controls included: (1) unbombarded cells; (2) cells bombarded with gold particles only; (3) cells bombarded with non-specific PHPC917A chimeric oligonucleotide; (4) cells bombarded with a DNA version of PHPCA621; and (5) herbicide resistant calli arising by spontaneous mutations.

#### Conversion of a PAT/GFP transgene

*PAT/GFP transgene conversion strategy* - The plasmid PHP11129 contains a coding sequence for GFP fused in frame to the PAT gene which encodes phosphinothricin-N-acetyltransferase and confers resistance to bialaphos. Because of an introduced termination codon (TAG) at the end of the PAT coding sequence (position 2987-2990), GFP production is prevented. A chimeric oligonucleotide (PHPC917A) was designed to substitute G with C at nucleotide position 2990 (Fig. 13 and SEQ ID NO: 13). If mismatch repair occurs, the termination codon TAG will be replaced by TAC which will allow expression of the PAT/GFP fusion protein.

*Function restoration of silenced GFP by chimeric oligonucleotide PHPC917A*

- Two HiII transformants containing PHP11129 were established by selection on bialaphos following *Agrobacterium*-mediated transformation. No GFP expressing cells were observed in either cell line (data not shown). Four days after introducing  
5 chimeric oligonucleotide PHPC917A, GFP expressing cells were identified in each cell line by fluorescence microscopy. In initial experiments using these cell lines maintained for several months in culture, 11 GFP positive events were detected in 48 bombardments. Subsequent experiments utilized freshly initiated cell lines derived from T1 embryos of plants regenerated from the initial transformants; with  
10 introduction of chimeric oligonucleotide PHPC917A, the frequency of GFP positive cells was approximately 10-fold higher (Table 1). No GFP positive cells were observed from various negative controls including: (1) unbombarded cells; (2) cells bombarded with gold particles only; (3) cells bombarded with a DNA version of PHPC917A; (4) cells bombarded with non-specific chimeric oligonucleotide  
15 PHPCA621; and (5) wild-type cells bombarded with PHPC917A.

GFP positive cell clusters were rescued and transferred to appropriate media for plant regeneration. In regenerated seedlings, GFP expression was clearly seen in the germinating embryo, vascular tissue and epidermal hairs of leaves, and in roots. GFP expression in leaf tissue was partially masked by chlorophyll autofluorescence.  
20 Sequence analysis of one of the mutant events indicated replacement of the termination codon by a tyrosine codon. However, a T rather than a predicted C residue was found at the correct nucleotide position 2990. Strong green fluorescence was observed from various tissues of T1 seedlings, except leaves where GFP fluorescence was masked by chlorophyll autofluorescence (data not shown).  
25 Segregation analysis of 40 T1 germinating seeds revealed 18 of the seedlings with GFP expression, indicating the 1:1 Mendelian transmission of modified PAT/GFP transgene.

Discussion

30 In this study, it was demonstrated that genes in maize can be modified at the nucleotide level with a high degree of precision, by using chimeric RNA/DNA oligonucleotides. The manipulated genes could be inherited and stabilized through both mitosis and meiosis, evidenced by the Mendelian inheritance pattern of the  
35 PAT/GFP conversion. In the example of the AHAS621 conversion we report here,

over 80% of the modifications produced were detected at the expected target nucleotide. The remaining 20% were mutated either at the correct target site but with different nucleotides, or at sites upstream but immediately adjacent to the target nucleotide. In the other two targets (AHAS165 and PAT/GFP) expected phenotypes  
5 were recovered, and modifications occurred in a site-directed fashion. However, in both cases, most mutations were due to the substitution of different nucleotides (Table 2). Sequence analysis of up to 800 bp flanking each target site revealed no additional distal modifications. In addition, as reported in previous studies using chimeric RNA/DNA oligonucleotides in mammalian cells (Yoon *et al.* (1996) *Proc. Natl.*  
10 *Acad. Sci. USA* 93:2071-2076), Cole-Strauss *et al.* (1996) *Antisense Nucl. Acid Drug Dev.* 7:211-216, Xiang *et al.* (1997) *J. Mol. Med.* 75:829-835, Kren *et al.* (1997) *Hepatology* 25:1462-1468 and (1998) *Nature Med.* 4:285-290), no targeted modifications were observed in cells bombarded with either non-target sequence-specific chimeric oligonucleotides or DNA versions of the target sequence-specific  
15 oligonucleotides. Chimeric oligonucleotides with sequences identical to the target were not tested in this study. However, previous work in mammalian cells has shown that such oligonucleotides apparently are not mutagenic (Yoon *et al.* (1996) *Proc. Natl. Acad. Sci. USA* 93:2071-2076 and Cole-Strauss *et al.* (1996) *Science* 273:1386-1389). The sequence-specificity of the modifications reported in this study may be  
20 due to the high affinity between the RNA in the chimeric oligonucleotides and the target DNA sequence during homologous pairing (Kotani *et al.* (1996) *Mol. Gen. Genet.* 250:626-634). Presumably, an endogenous pairing enzyme is also involved (Kmiec *et al.* (1994) *Mol. Cell. Biol.* 14:7163-7172).

Although different mutated sequences were recovered in several instances  
25 from individual herbicide resistant calli, it is not clear from our analysis whether any of the unexpected sequence changes result in the observed herbicide resistance. Similarly, it is not clear which AHAS genes were mutated or if all cells in each callus contained each of the mutated AHAS forms detected by our analysis. At least three possible reasons may contribute to the diversity of modifications at each target site  
30 observed in this study. First is the pre-existence of allelic differences among AHAS gene family members. However sequence analysis of over 40 cloned PCR fragments derived from wild-type maize AHAS gene revealed no polymorphism, and two classes of AHAS gene family members (AHAS108 and AHAS109) share the same sequence in the target region (Fang *et al.* (1992) *Plant Mol. Biol.* 18:1185-1187).

Second, it is possible that incorrect chimeric oligonucleotide sequences produced during synthesis were responsible for the unexpected modifications. Although the possibility of misincorporated nucleotides in a small proportion of the chimeric oligonucleotide preparations cannot be completely ruled out, mass spectrometry, HPLC, and independent sequence analysis indicated that the purity of our chimeric oligonucleotide preparation is extremely high (data not shown). Finally, the diversity of mutations may result from decreased fidelity of the mismatch repair machinery in maize, as compared to mammalian cells. Error-prone mismatch repair may somehow be activated by specific sequences in the target region, or by the affinity of the repair machinery for mismatched heteroduplex involving DNA and 2'-O-methyl RNA. Similar unexpected modifications have also been observed occasionally in one or two positions 5' of the target nucleotide in other systems (Ramesh Kumar, personal communication).

The frequencies of site-specific targeting by chimeric oligonucleotides are at least 100-fold higher than spontaneous mutation frequencies. The average of observed gene modification frequencies in our experiments was estimated as 0.2 per bombardment (0.3 putative events per bombardment X 0.69 positive molecular confirmations). The number of cells receiving chimeric oligonucleotides, as estimated by transient expression of a GFP marker gene, was  $2-4 \times 10^3$  per bombardment (data not shown). Thus, the frequency of site-directed targeting by chimeric oligonucleotides in maize is approximately  $10^{-4}$ .

The frequency of site-specific targeting by RNA/DNA oligonucleotides is also significantly higher than gene targeting by homologous recombination. The ratio of targeting by chimeric oligonucleotides to random insertion events is estimated as  $4 \times 10^{-2}$ , while the ratio of homologous recombination events to random insertion events is  $10^{-5}$ - $10^{-4}$  in plants (Paszkowski *et al.* (1988) *EMBO J.* 7:4021-4026; Lee *et al.* (1990) *Plant Cell* 2:415-425; Ohl *et al.* (1994) *Homologous Recombination and Gene Silencing in Plants*; Paszkowski J. (eds.) pp. 191-217; Kluwer Academic Publishers, Dordrecht, The Netherlands; Schaefer and Zrijd (1997) *Plant J.* 11:1195-1206; Thykjaer *et al.* (1997) *Plant Mol. Biol.* 35:523-530; Kempin *et al.* (1997) *Nature* 389:802-803).

The potential use of this system for gene manipulation in genetic and molecular studies, especially for crop improvement, is demonstrated by the ability to



achieve proliferation of targeted cells and regeneration of plants. The converted genes were shown to be heritable through both mitosis and meiosis. This contrasts with previous applications of chimeric oligonucleotides in gene therapy, where altered genes were generally not transmitted through mitosis, because the cells targeted, such as lymphoblasts and hepatoma cells, were terminally differentiated (Cole-Strauss *et al.* (1996) *Science* 273:1386-1389, Kren *et al.* (1998) *Nature Med.* 4:285-290).

It is recognized that the targeting frequency in maize is three orders of magnitude lower than the frequencies reported for mammalian cells (Cole-Strauss *et al.* (1996) *Science* 273:1386-1389, Xiang *et al.* (1997) *J. Mol. Med.* 75:829-835, Kren *et al.* (1998) *Nature Med.* 4:285-290). One explanation is that the frequency we observed may represent a conservative estimate since it is based on events that survived through chemical selection. Observed targeting frequencies using the PAT/GFP fusion target were higher, especially when healthy freshly initiated callus was used (Table 1). While chemical selection provides a useful means for recovery of cells with the desired phenotype after targeting, some targeted cells may undergo cell cycle arrest as a result of DNA damage/repair (Elledge 1996, Kaufmann and Paules 1996), and thus may not be easily recovered as colonies when additional stress is imposed. Other factors responsible for the different frequencies may involve both experimental variances, such as method of delivery (bombardment vs. lipofection), and *in vivo* variances between mammalian cells and plant cells, which may include the different efficiencies for homologous pairing, strand transfer, or mismatch repair. Further studies will be needed to improve the gene conversion frequency in plant cells.

Recent advances in genomic research have generated large numbers of gene-derived DNA sequences from many organisms, including plants such as maize, rice and *Arabidopsis*. However, the function of the majority of genes identified by sequencing is unknown. Although candidate genes associated with specific traits can be identified by homology with sequences in other organisms, or by their expression profiles, confirmation of gene function requires reverse genetic manipulations either by transformation or mutagenesis. Currently, reverse genetics in maize and *Arabidopsis* is carried out mainly by screening for T-DNA or transposon insertions (McKinney *et al.* (1995) *Plant J.* 8:613-622), Bensen *et al.* (1995) *Plant Cell* 7:75-84, or potentially by gene targeting involving homologous recombination (Kempin *et al.* (1997) *Nature* 389:802-803). With gene targeting constructs, homologous

recombination events are frequently associated with non-homologous rearrangements which can complicate interpretation (Ohl *et al.* (1994) *In Homologous Recombination and Gene Silencing in Plants*; Paszkowski J. (eds.) pp. 191-217. Kluwer Academic Publishers, Dordrecht, The Netherlands), Puchta *et al.* (1996) *Proc. Natl. Acad. Sci. USA* 93:5055-5060, Risseuw *et al.* (1995) *Plant J.* 7:109-119). Similarly, with transposon or T-DNA disruption screens, the effects of individual insertions are frequently complicated by the presence of additional mutations, especially if these are closely linked to the gene of interest. The present study suggests that precisely controlled gene disruptions or modifications could be derived at relatively high frequencies using chimeric RNA/DNA oligonucleotides. Since chimeric oligonucleotides have no free ends (Yoon *et al.* (1996) *Proc. Natl. Acad. Sci. USA* 93:2071-2076), random insertion may be prevented, thereby increasing their mutational specificity. Finally, the present study indicates that it is feasible to use chimeric RNA/DNA oligonucleotides to engineer new crops with superior traits without having to introduce foreign genes.

All publications and patent applications mentioned in the specification are indicative of the level of those skilled in the art to which this invention pertains. All publications and patent applications are herein incorporated by reference to the same extent as if each individual publication or patent application was specifically and individually indicated to be incorporated by reference.

Although the foregoing invention has been described in some detail by way of illustration and example for purposes of clarity of understanding, it will be obvious that certain changes and modifications may be practiced within the scope of the appended claims.

## THAT WHICH IS CLAIMED:

1. A chimeric oligonucleotide comprising as a single molecule a nucleotide sequence of DNA residues with at least two intervening blocks of RNA  
5 residues, wherein the RNA blocks are homologous to a plant nucleotide sequence and flank a DNA block, said oligonucleotide capable of folding to form a duplex oligonucleotide.
2. The chimeric oligonucleotide of claim 1, wherein said molecule  
10 comprises at least one region of contiguous unpaired bases disposed so that the unpaired region separates the oligonucleotide into a first and second strand.
3. The chimeric oligonucleotide of claim 2, wherein said RNA blocks are comprised of a 2'-O or 2'-OMe ribose.  
15
4. The chimeric oligonucleotide of claim 2, wherein said RNA block comprises at least 5 contiguous nucleotides.
5. The chimeric oligonucleotide of claim 3, wherein said DNA block  
20 comprises at least 5 contiguous nucleotides.
6. The chimeric oligonucleotide of claim 5, wherein said plant nucleotide sequence is a nucleotide sequence that encodes a herbicide resistance gene.
- 25 7. The chimeric oligonucleotide of claim 6, wherein said herbicide resistance gene confers resistance to sulfonylurea.
8. The chimeric nucleotide of claim 6, wherein said herbicide resistance gene confers resistance to imidazolinone.  
30
9. The chimeric oligonucleotide of claim 6, wherein said herbicide resistance gene is a 5-enol pyruvylshikimate-3-phosphate synthase (EPSPS) gene.

10. The chimeric oligonucleotide of claim 6, wherein said herbicide resistance gene is acetohydroxy acid synthetase (AHAS) gene.

11. The chimeric oligonucleotide of claim 6, wherein said oligonucleotide  
5 is selected from those set forth in Figures 1 through 13 (SEQ ID NOs: 1-13).

12. A method of introducing a predetermined alteration in a target sequence of the genome of a plant cell, said method comprising:  
introducing a chimeric oligonucleotide into the plant cell, said  
10 oligonucleotide having at least two intervening blocks of RNA residues (RNA block), wherein the RNA blocks are homologous to a plant nucleotide sequence and flank a block of DNA residues (DNA block), said oligonucleotide capable of folding to form a duplex oligonucleotide; and,  
maintaining the chimeric oligonucleotide within the nucleus of the  
15 plant whereby the alteration is introduced into the target genomic sequences.

13. The method of claim 12, wherein said molecule comprises at least one region of contiguous unpaired bases disposed so that the unpaired region separates the oligonucleotide into a first and second strand.

20

14. The method of claim 13, wherein said RNA blocks are comprised of a 2'-O or 2'-OMe ribose.

15. The method of claim 13, wherein said RNA block comprises at least 5  
25 contiguous nucleotides.

16. The method of claim 14, wherein said DNA block comprises at least 5 contiguous nucleotides.

17. The method of claim 16, wherein said plant nucleotide sequence is a nucleotide sequence that encodes a herbicide resistance gene.

18. The method of claim 17, wherein said herbicide resistance gene confers resistance to sulfonylurea.

19. The method of claim 17, wherein said herbicide resistance gene confers resistance to imidazolinone.

5 20. The method of claim 16, wherein said herbicide resistance gene is a 5-enol pyruvylshikimate-3-phosphate synthase gene.

21. The method of claim 16, wherein said herbicide resistance gene is acetohydroxy acid synthetase (AHAS) gene.

10

22. A method to inactivate a gene introduced into a plant genome, said method comprising:

transforming said organism with a transfer cassette comprising a promoter operably linked to a nucleotide sequence encoding said gene; and

15 introducing into said plant at least one chimeric RNA-DNA oligonucleotide molecule capable of recognizing and implementing a nucleotide conversion in said transfer cassette such that an interruption in the normal expression of said gene is created, thereby rendering said gene inoperable.

20 23. The method of claim 22, wherein said nucleotide conversion is in the promoter.

24. The method of claim 22, wherein said nucleotide conversion is in the coding region.

25

25. The method of claim 22, wherein said gene is a marker gene.

26. The method of claim 22, wherein said gene is a herbicide resistance gene.

30

27. The method of claim 22, wherein the chimeric oligonucleotide introduces a frameshift in the normal reading frame of the gene.

28. The method of claim 22, wherein the chimeric oligonucleotide introduces a premature stop codon in the normal reading frame of the gene.

29. The method of claim 23, wherein the chimeric oligonucleotide  
5 introduces a modification in a region of the promoter critical for transcription of the operably linked gene coding region.

30. A plant having a modified nucleotide sequence, said modification  
being introduced into the plant genome by introducing a predetermined alteration in a  
10 target sequence of the genome of a plant cell, said method comprising:  
introducing a chimeric oligonucleotide into the plant cell, said  
oligonucleotide having at least two intervening blocks of RNA residues, wherein the  
RNA blocks are homologous to a plant nucleotide sequence, said oligonucleotide  
capable of folding to form a duplex oligonucleotide  
15 maintaining the chimeric oligonucleotide within the nucleus of the  
plant whereby the alteration is introduced into the target sequences.

31. The plant of claim 30, wherein said molecule comprises at least one  
region of contiguous unpaired bases disposed so that the unpaired region separates the  
20 oligonucleotide into a first and second strand.

32. The plant of claim 31, wherein said RNA blocks are comprised of 2'O  
or 2'-OMe ribose.

25 33. The plant of claim 31, wherein said RNA block comprises at least 5  
contiguous nucleotides.

34. The plant of claim 33, wherein said DNA block comprises at least 5  
contiguous nucleotides.

30

35. The plant of claim 34, wherein said plant nucleotide sequence is a  
nucleotide sequence that encodes a herbicide resistance gene.

36. The plant of claim 35, wherein said herbicide resistance gene confers resistance to sulfonylurea.
37. The plant of claim 35, wherein said herbicide resistance gene confers  
5 resistance to imidazolinone.
38. The plant of claim 35, wherein said herbicide resistance gene is a 5-enol pyruvylshikimate-3-phosphate synthase gene.
- 10 39. The plant of claim 35, wherein said herbicide resistance gene is acetohydroxy acid synthetase (AHAS) gene.
40. The plant of claim 30, wherein said plant is a dicot.
- 15 41. The plant of claim 30, wherein said plant is a monocot.
42. The plant of claim 41, wherein said monocot is maize.
43. Seed of the plant of any one of claims 30-42.
- 20 44. A plant having in its genome a native nucleotide sequence that has been altered from its native form by the exchange of at least one nucleotide.
45. The plant of claim 44, wherein said nucleotide sequence encodes a  
25 modified protein.
46. The plant of claim 45, wherein said plant nucleotide sequence is a nucleotide sequence that encodes a herbicide resistance gene.
- 30 47. The plant of claim 46, wherein said herbicide resistance gene is a 5-enol pyruvylshikimate-3-phosphate synthase gene.
48. The plant of claim 47, wherein said herbicide resistance gene is acetohydroxy acid synthetase (AHAS) gene.

49. The plant of claim 44, wherein said plant is a dicot.
50. The plant of claim 44, wherein said plant is a monocot.
51. The plant of claim 50, wherein said monocot is maize.
52. Seed of the plant of any one of claims 44-51.
53. A method for creating a predetermined nucleotide pair mismatch in a target sequence of the genome of a plant cell upon which endogenous mismatch repair mechanisms can operate to create a nucleotide alteration at or near the target sequence, said method comprising:
- introducing a chimeric oligonucleotide into the plant cell, said oligonucleotide having at least two intervening blocks of RNA residues (RNA block), wherein the RNA blocks are homologous to a plant nucleotide sequence and flank a block of DNA residues (DNA block), said oligonucleotide capable of folding to form a duplex oligonucleotide; and,
- maintaining the chimeric oligonucleotide within the nucleus of the plant whereby the alteration is introduced into the target genomic sequences.
54. The method of claim 53, wherein said molecule comprises at least one region of contiguous unpaired bases disposed so that the unpaired region separates the oligonucleotide into a first and second strand.
55. The method of claim 54, wherein said RNA blocks are comprised of a 2'-O or 2'-OMe ribose.
56. The method of claim 54, wherein said RNA block comprises at least 5 contiguous nucleotides.
57. The method of claim 54, wherein said DNA block comprises at least 5 contiguous nucleotides.



58. The method of claim 57, wherein said plant nucleotide sequence is a nucleotide sequence that encodes a herbicide resistance gene.

59. The method of claim 58, wherein said herbicide resistance gene  
5 confers resistance to sulfonylurea.

60. The method of claim 58, wherein said herbicide resistance gene confers resistance to imidazolinone.

10 61. The method of claim 54, wherein said herbicide resistance gene is a 5-enol pyruvylshikimate-3-phosphate synthase gene.

62. The method of claim 54, wherein said herbicide resistance gene is acetohydroxy acid synthetase (AHAS) gene.

# FIGURE 1

## (a) Chimeric oligonucleotide

GGGGAATGCTGGAATCGCAATGCGGTCCTTGACAGCAGCTGTTTTTAcagcugcugucAAGGACCGcauuGCGATTccagcauuccccCGCGGTTTTTCGCGC

## (b) Active oligonucleotide conformation

TGCGCG-ccccuuaacgaccTTAGCGGuaacgCCAGGAACugucgucgacaT  
T T  
TGCGCG GGGGAATGCTGGAATCGCAATGCGGTCCTTGACAGCAGCTGTT  
GlyAsnAlaGlyIleAlaMetArgSerLeuThrAlaAlaVal

Two nucleotide modifications (underlined) at each of two amino acid targets (bold) in maize EPSPS gene; RNA residues between two amino acid targets.

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**FIGURE 2****(a) Chimeric oligonucleotide**

GGAAATGCTGGAATGCAATGCGGTTCATTGACAGCAGTTCCTTTCugcugucaaaTGACCGcauugCAATTccagcauuccGGCGGTTTTTCGCGGC

**(b) Active oligonucleotide conformation**

TGCGCG-ccuuacgaccTTAACguuacGCCAGTaaucugucguct  
 T T  
 T T  
 TCGCGC GGAATGCTGGAATGCAATGCGGTTCATTGACAGCAGT  
 AsnAlaGlyIleAlaMetArgSerLeuThrAla

One nucleotide modification (underlined) at each of two amino acid targets (bold) in maize EPSPS gene; RNA residues between two amino acid targets.

# FIGURE 3

## (a) Chimeric oligonucleotide

GGGGAATGCTGGAATGCAATGCGGTCCTTGACAGCAGCTGTTTTTtacagcugcugucaAAGGACCGCATTCGCGATTccagcauuccccCGCGGTTTTTCGCGGC

## (b) Active oligonucleotide conformation

TGCGCG - ccuuacgacctTAACGTTACGCCAGTaaucugcuguct  
T T  
T T  
TGCGCG GGAATGCTGGAATGCAATGCGGTCCTTGACAGCAGT  
AsnAlaGlyIleAlaMetArgSerLeuThrAla

Two nucleotide modifications (underlined) at each of two amino acid targets (bold) in maize EPSPS gene; DNA residues between two amino acid targets.

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**FIGURE 4****(a) Chimeric oligonucleotide**

5' GGAATGCTGGAATGCAATGGGTCATTGACAGCAGTTCCTTcugcugucaaaTGACCGCATTCGCAATccagcauuccGGCGGTTTTTCGCGC

**(b) Active oligonucleotide conformation**

TGCGCG-ccuuacgaccTTAACGTTACGCCAGTaaucugucguct  
 T T  
 T T  
 TCGCGC GGAATGCTGGAATGCAATGGGTCATTGACAGCAGT  
 AsnAlaGlyIleAlaMetArgSerLeuThrAla

One nucleotide modification (underlined) at each of two amino acid targets (bold) in maize EPSPS gene; DNA residues between two amino acid targets.

5/13

**FIGURE 5****(a) Chimeric oligonucleotide**

GGGGAATGCTGGAATCGCAATCGGTCCTTGACAGCAGCTGTTTTTAcagucugucuaaggaccgcauugCGATTccagcauuccccGGCGGTTTTTCGGCGC

**(b) Active oligonucleotide conformation**

TGCGCG-ccccuuacgaccTTAGCGuuacgccaggaaacugucugacacT  
 T T  
 TGCGCG GGGGAATGCTGGAATCGCAATCGGTCCTTGACAGCAGCTGTT  
 GlyAsnAlaGlyIleAlaMetArgSerLeuThrAlaAlaVal

Two nucleotide modifications (underlined) at each of two amino acid targets (bold) in maize EPSPS gene; first target region within DNA, second target region within RNA.

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**FIGURE 6****(a) Chimeric oligonucleotide**

5' GGAATGCTGGAATGCAATGCGGTCAATTGACAGTTTTCUGUCAUgaccgcauugCAATTccagcauuccGCGCGTTTTTCGCGC

**(b) Active oligonucleotide conformation**

TGCGCG-ccuuaacgaccTTAAGcguuacgcccagaaacuguct  
 T T  
 TCGCGC GGAATGCTGGAATGCAATGCGGTCAATTGACAGT  
 AsnAlaGlyIleAlaMetArgSerLeu

One nucleotide modification (underlined) at each of two amino acid targets (bold) in maize EPSPS gene; first target region within DNA, second target region within RNA.

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# FIGURE 7

## (a) Chimeric oligonucleotide

GGGGAATGCTGGAATCGCAATCGGGTCCTTGACAGCAGCTGTTTTTatcagcugcugucAAGGACCgcauugcgattccagcauucccccGCGCGTTTTTCGCGC

## (b) Active oligonucleotide conformation

TGCGCG-ccccuuacgaccttagcguaacgCCAGGAACugucgucgacat  
T T  
T T  
TCGCGC GGGGAATGCTGGAATCGCAATGCGGTCCCTTGACAGCAGCTGTT  
GlyAsnAlaGlyIleAlaMetArgSerLeuThrAlaIaVal

Two nucleotide modifications (underlined) at each of two amino acid targets (bold) in maize EPSPS gene; first target region within RNA, second target region within DNA.



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**FIGURE 8****(a) Chimeric oligonucleotide**

5' GGAATGCTGGAATGCAATGCGGTCATTGACAGTTTTCugucaaugaccgcauugCAATTccagcauuccGCCGCGTTTTTCGCGC

**(b) Active oligonucleotide conformation**

TGCGCG-ccuuacgacaccttaacguuacgCCAGUaacuguct  
 T T  
 T T  
 TC GCGC GGAATGCTGGAATGCAATGCGGTCATTGACAGT  
 AsnAlaGlyIleAlaMetArgSerLeu

One nucleotide modification (underlined) at each of two amino acid targets (bold) in maize EPSPS gene; first target region within RNA, second target region within DNA.

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**FIGURE 9****(a) Chimeric oligonucleotide**

5' GGAATGCTGGAATGCAATGCGGCCTTTTgcccgaugCAATTccagcauuccGGCGGTTTTTCGGCGC

**(b) Active oligonucleotide conformation**

TCGCGC - ccuacgaccTTAACGnuacgccggt  
 T T  
 TCGCGC GGAATGCTGGAATGCAATGCGGCCT  
 AsnAlaGlyTleAlaMetArgPro

One nucleotide modification (underlined) at one amino acid target (bold).

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**FIGURE 10****(a) Chimeric oligonucleotide**

5' ACTGCAATGCGGTCATTGACAGCAGTTTTTCUGCUGUCAATGACCCGCAUUGCAGUGCGCGTTTTCGCGGC

**(b) Active oligonucleotide conformation**

TGC GCG - uga cgu uac g CCAGTaacugucgucT  
 T T  
 TGC GCG ACTGCAATGCGGTCATTGACAGCAGT  
 ThrAlaMetArgSerLeuThrAla

One nucleotide modification (underlined) at one amino acid target (**bold**).

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FIGURE 11

(a) Chimeric oligonucleotide  
(Single modification: Ser => Asn being made)

5' CTATGATCCCTAATGGTGGGCTTTTTTTTaaagcccccacCATTAagggaucauagGCGCGTTTTTCGCGC

(b) Active oligonucleotide conformation

TGCGCG-gauacuagggATTACcaccccgaaT  
 T T  
 TGCGCG CTATGATCCCTAATGGTGGGCTTTTT  
 5' MetIleProAsnGlyGlyAla

## FIGURE 12

(a) Chimeric oligonucleotide  
(Single modification: Pro => Ala being made)

5'ACGGGACAGGTGGCGCGACGCATGATTTTtcaugcgucgCGCCAccugucccgugCGCGTTTTTCGCGC

(b) Active oligonucleotide conformation

TCGCGC-ugccucuguccACCGCgcugcguactT  
T T  
TCGCGC ACGGACAGGTGGCGCGACGCATGAT  
5' ThrGlyGlnValAlaArgArgMet

FIGURE 13

## (a) Chimeric oligonucleotide

(Single modification: *Ter* => Tyr being made)

5' GACGCAGATCTACGTACCATCGTCCTTTTgacgaugguACGTAgauucgucgCGCGCTTTTCGCGC

## (b) Active oligonucleotide conformation

TCGCGC-cugcgucuaagATGCAugguagcaggT  
 T T T  
 TCGCGC GACGCAGATCTACGTACCATCGTCCT  
 5' ThrGlnIleTyrValProSerSer

## SEQUENCE LISTING

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Tagliani, Laura A.  
Zhu, Tong

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<130> 035718-168740

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&lt;222&gt; (67)..(76)

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&lt;210&gt; 3

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&lt;222&gt; (77)..(88)

&lt;400&gt; 3

ggggaatgct ggaatcgcaa tgcggtcctt gacagcagct gttttacag cugcugucaa 60  
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&lt;210&gt; 4

&lt;211&gt; 90

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90

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&lt;212&gt; DNA

&lt;213&gt; Zea mays

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&lt;222&gt; (61)..(70)

&lt;400&gt; 8

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&lt;210&gt; 9

&lt;211&gt; 68

&lt;212&gt; DNA

&lt;213&gt; Zea mays

&lt;220&gt;

&lt;221&gt; misc\_RNA

&lt;222&gt; (30)..(39)

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&lt;222&gt; (30)..(39)

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&lt;222&gt; (45)..(54)

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&lt;222&gt; (31)..(40)

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&lt;222&gt; (47)..(56)

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&lt;213&gt; Zea mays

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&lt;222&gt; (32)..(41)

&lt;220&gt;

&lt;221&gt; misc\_RNA

&lt;222&gt; (49)..(58)

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&lt;210&gt; 13

&lt;211&gt; 68

&lt;212&gt; DNA

&lt;213&gt; Zea mays

&lt;220&gt;

&lt;221&gt; misc\_RNA

&lt;222&gt; (30)..(39)

&lt;220&gt;

&lt;221&gt; misc\_RNA

&lt;222&gt; (45)..(54)

&lt;400&gt; 13

ctatgatccc taatggtggg gctttttta aagccccacc attaggauc auaggcgcgt 60  
tttcgcgc 68

# INTERNATIONAL SEARCH REPORT

Intern. Appl. No.

PCT/US 98/24484

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 C12N15/82 C12N15/10 C12N15/11 C07H21/00 A01H5/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C12N A01H C07H

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 95 15972 A (UNIV JEFFERSON) 15 June 1995 cited in the application see the whole document	1-43, 53-62
X	US 5 013 659 A (CHALEFF ROY S ET AL) 7 May 1991 cited in the application see abstract	44-46, 48-52
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☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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- "&" document member of the same patent family

Date of the actual completion of the international search

15 Apr11 1999

Date of mailing of the international search report

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Andres, S

# INTERNATIONAL SEARCH REPORT

Inter. onal Application No  
PCT/US 98/24484

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>YOON K ET AL: "TARGETED GENE CORRECTION OF EPISOMAL DNA IN MAMMALIAN CELLS MEDIATED BY A CHIMERIC RNA-DNA OLIGONUCLEOTIDE" PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF USA, vol. 93, March 1996, pages 2071-2076, XP002068332 cited in the application</p>	1-43, 53-62
A	<p>KMIEC E B: "GENETIC MANIPULATION IN MAMMALIAN CELLS USING AN RNA/DNA CHIMERIC OLIGONUCLEOTIDE" ADVANCED DRUG DELIVERY REVIEWS, vol. 17, no. 3, 1995, pages 333-340, XP000618760 see the whole document</p>	1-43, 53-62
A	<p>WO 92 17484 A (RES CORP TECHNOLOGIES INC) 15 October 1992 see page 6, line 26 - page 8, line 20 see page 32, line 21 - page 33, line 8 see page 40, line 15 - page 41, line 32</p>	1-43, 53-62
P,X	<p>WO 97 48714 A (UNIV JEFFERSON) 24 December 1997</p> <p>see page 8, line 12 - page 13, line 17 see page 14, line 18 - page 18 see claims 36-39 see figures 1,2A</p>	1-5, 12-16, 22,27, 28, 30-34,44
E	<p>WO 98 54330 A (EVANS IAN JEFFREY ;HAWKES TIMOTHY ROBERT (GB); ZENECA LTD (GB); GR) 3 December 1998 see the whole document</p>	1-62

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